

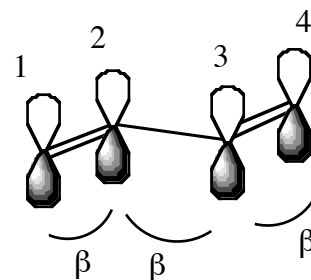
## Lecture outline

Additional insight into resonance, aromaticity, and light absorption can be gained by determining the  $\pi$ -MO energies. We don't need to do a sophisticated electronic structure calculation to obtain useful information; a simple treatment of  $\pi$ -systems as arrays of interacting p-orbitals is sufficient for our purposes.

The method that we will be using, Hückel theory, considers only interactions between nearest-neighbor p-orbitals, and expresses the resulting  $\pi$ -MO energies in terms of two parameters —  $\alpha$ , the energy of an isolated p-orbital, and  $\beta$ , the interaction energy between a pair of p-orbitals. By the conventional definition,  $\beta$  represents a stabilization, or energy lowering, so it is a negative number.

At the Hückel level of theory, the bonding  $\pi$ -MO of ethylene is at energy  $E = \alpha + \beta$ , and the antibonding  $\pi$ -MO is at energy  $E = \alpha - \beta$ . (Remember that  $\beta$  is negative.)

The most basic version of Hückel theory treats molecules like 1,3 butadiene as strings of p-orbitals that all have the *same* interaction energy,  $\beta$ , regardless of single vs double bonding in the Lewis structure and regardless of the distances between the orbitals. This is, of course, a horrific approximation, but it makes the calculations very simple.



**An aside for the more mathematically inclined...** Hückel calculations for small molecules like butadiene can be done with paper and pencil. For  $n$  interacting p-orbitals, an  $n \times n$  determinant is set up with each diagonal element  $i,i$  being "x", where  $x = (\alpha - E)/\beta$ , and each off-diagonal element  $i,j$  being 1 if atoms  $i$  and  $j$  are bonded (adjacent), and 0 if they're not.

The determinant for butadiene is:

$$\begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \end{array} \begin{vmatrix} 1 & 2 & 3 & 4 \\ \mathbf{x} & \mathbf{1} & \mathbf{0} & \mathbf{0} \\ \mathbf{1} & \mathbf{x} & \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} & \mathbf{x} & \mathbf{1} \\ \mathbf{0} & \mathbf{0} & \mathbf{1} & \mathbf{x} \end{vmatrix} = 0$$

Row 1, for example, has  $\mathbf{x}$  for the diagonal matrix element 1,1, a  $\mathbf{1}$  for matrix element 1,2, since atoms 1 and 2 are bonded, and  $\mathbf{0}$ s for elements 1,3 and 1,4, since the corresponding atoms are not bonded.

Row 2 has a  $\mathbf{0}$  for the 2,4 interaction, and  $\mathbf{1}$ s for the 2,1 and 2,3 interactions, since these atoms are bonded (remember, single vs double bonding in the Lewis structure is irrelevant because the Hückel simplification treats each interaction between a pair of adjacent p-orbitals as being the same).

This determinant can be reduced, via "expansion by cofactors" and then solving the resulting  $3 \times 3$  determinants, to give the equation

$$x^4 - 3x^2 + 1 = 0$$

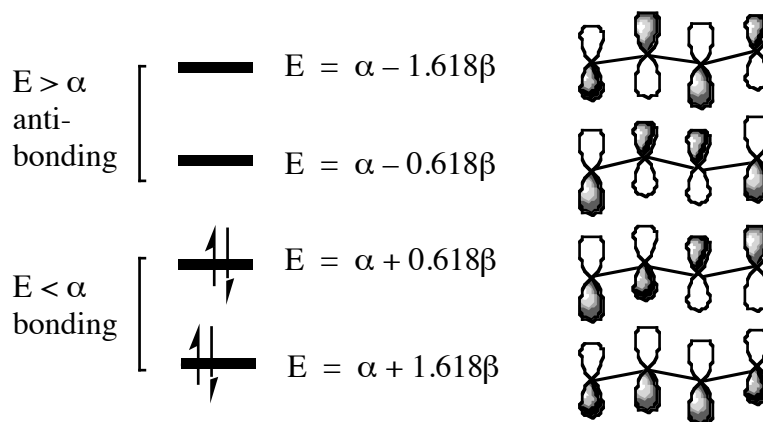
Solving for  $x$ , via the quadratic formula or by completing the square, gives 4 solutions,

$$x = \pm 1.618$$

$$\text{and } x = \pm 0.618$$

Recall that " $x$ " was defined as  $x = (\alpha - E)/\beta$ , so  $E = \alpha - x\beta$ . These four solutions give the four  $\pi$ -MO energies below.

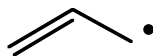
The result of the calculation is the set of  $\pi$ -MO energies below.



The set of equations defining the individual  $\pi$ -MOs can also be solved to determine the relative contribution of each p-orbital to each  $\pi$ -MO (the "p-orbital coefficients"). This can be useful in understanding charge distributions within  $\pi$ -systems and reaction regiochemistry, but we don't need to be concerned with this now.

From the Hückel  $\pi$ -MO energies we can determine a crude resonance stabilization energy. For butadiene, we're referring to the energy lowering due to conjugation. To evaluate this we need to compare the total  $\pi$ -MO energy,  $E_{\pi}$ , of butadiene to that of two isolated  $\pi$ -bonds, i.e. ethylene.

What's the Hückel resonance energy of allyl radical? First, we need the  $\pi$ -MO energies. There must be a way to do these calculations — let's check the web... hey, there's a Hückel calculator developed by Arvi Rauk at the University of Calgary — [www.chem.ucalgary.ca/SHMO/](http://www.chem.ucalgary.ca/SHMO/) — this will be demonstrated in class.



Now let's determine the aromaticity of benzene and the antiaromaticity of cyclobutadiene.